Approved for use through 10/31/2002. OMB 0651-0032

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|---|--|---------------------------------------|--|--|---|--|
| | UTILITY Attorney Docket No.: 3043.FDI | | | | | |
| PATENT APPLICATION First Inver | | | ntor: Robert L. Billmers | | | |
| TRA | NSMITTAL | Title: | starches For Reduc | ed Fat in Fried Food | Systems | |
| (Only for new nonprovision | nal applications under 37 CFR | 1.53(b) Express N | lail Label No.: | EV200164146US | 100 | |
| | LICATION ELEME concerning utility patent a | | | Commissioner for Box Patent Applica Washington, DC 20 | tion | |
| (Submit an original 2. Applicant classes 37 CFR 13. Specification (preferred arranged - Descriptive - Cross Reference - Statement Reference or compared - Background | | Pages _16_] ations ed R & D a table, | Computer I 8. Nucleotide and (if applicable, a a. Comp b. Specifi i. ii. c. State | outer Readable Form ication Sequence List D-ROM or CD-R (2 co paper ements verifying ident | ence Submission (CRF) ting on: opies); or | |
| - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. □ Drawing(s) (35 USC 113) [Total Sheets] 5. ☒ Oath or Declaration [Total Pages2_] a. ☒ Newly executed (original or copy) b. □ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. □ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 | | | ACCOMPANYING APPLICATION PARTS 9. ☑ Assignment Papers (cover sheet & document(s)) 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee) 11. ☐ English Translation Document (if applicable) 12. ☑ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations 13. ☐ Preliminary Amendment 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. ☐ Nonpublication Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. ☐ Other | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a Preliminary Amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No/ Prior application information: Examiner Group/Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an Oath or Declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying Continuation or Divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | |
| 19. CORRESPONDENCE ADDRESS □ Customer Number or Bar Code Label or ☑ Correspondence address below | | | | | | |
| Name | Karen G., Kaiser | nsert Customer No. or | Attach bar code label h | iere) | | |
| Address | NATIONAL STAR | CH AND CHEMIC | | | | |
| City | | State | New Jersey | Zip Code | 08807-0500 | |
| Country Name (Print/Type | | Telephone: | 908-575-6152 | Fax: | 908-707-3706 | |
| Name (Print/Type Signature | | | Registration N | o. (Attorney/Agent |) 33,506 08/22/03 | |
| Olyriature | | (asses) | Date | | VUIZZIVU | |

PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$) | 826 | 00 |
|------|-----|----|

| Complete if Known | | | | |
|----------------------|------------------------------------|--|--|--|
| Application Number | | | | |
| Filing Date | August 22 2003 | | | |
| First Named Inventor | August 22, 2003 Billmers et al. | | | |
| Examiner Name | | | | |
| Art Unit | | | | |
| Attorney Docket No. | 3043 FDT | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|---|-----------------------------|---------|-------------|-------------|--|----------|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large | Entity | Small | Entity | | |
| Deposit Account. Deposit Account 14 - 0455 | Fee Code | | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Number 14 0 133 | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit Account | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Name The Commissioner is authorized to: (check all that apply) | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Charge fee(s) indicated below Credit any overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | 1 |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid Code (\$) | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1001 750 2001 375 Utility filing fee | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 750. め | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid | 1502 | 470 | 2502 | 235 | Design issue fee | |
| Total Claims $22 - 20^{**} = 0 \times 2 = 36.00$ | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| Claims | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent = | 1807 | 50 | 1807 | 7 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity | 1806 | 180 | 1808 | 180 | Submission of Information Disclosure Stmt | |
| Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | 8021 | 40 | 8021 | 1 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1202 18 2202 9 Claims in excess of 20 | 1809 | 750 | 2809 | | Filing a submission after final rejection | |
| 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid | 4040 | 750 | 2046 | . 175 | (37 CFR 1.129(a)) | |
| 1203 280 2203 140 Multiple dependent claim, it not paid 1204 84 2204 42 ** Reissue independent claims | 1810 | 750 | 2810 | 3/5 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| over original patent | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) 36.00 | Other | fee (sp | ecify) _ | | | |
| **or number previously paid, if greater; For Reissues, see above | *Redu | ced by | Basic I | Filing F | ee Paid SUBTOTAL (3) (\$) | 10.00 |

SUBMITTED BY

Name (Print/Type) Karen G. Kaiser. Registration No. (Attorney/Agent) 33,506 Telephone 908-575-6152

Signature Date August 22,2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In ra Datant Application of | |
|-----------------------------|---|
| In re Patent Application of | • |

Billmers et al.

Serial No. : Group Art Unit:

Filed: August 22, 2003 : Examiner:

For: STARCHES FOR REDUCED FAT IN FRIED FOODS SYSTEMS:

LETTER TO THE COMMISSIONER RE 37 C.F.R. 1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with Applicants' duty to disclose under 37 C.F.R. 1.56 and MPEP 2001.06(b), the Applicant(s) of the above-identified patent application hereby advise the Examiner of the existence of Applicant(s) United States Patent application(s) which may be considered "material" to the prosecution of the above-identified application. Accordingly, we have listed below this (these) application(s).

| Docket Number | Serial/Patent Number | Filing Date | <u>Status</u> |
|---------------|----------------------|-------------|---------------|
| 2053.FDS | 10/323,434 | 12/19/02 | Pending |

It is to be expressly understood that in bringing this (these) application(s) to the attention of the Examiner, Applicant(s) and the assignee do NOT waive any confidentiality concerning the copending application(s) (see Medical Laboratory Automation, Inc. v. Labcon, Inc. 208 U.S.P.Q. 764,766 (N.C. III., 1980)). Furthermore, if the copending application(s) does (do) not mature into a patent, the copending application(s) should be preserved in secrecy under the provisions of 35 U.S.C. 122 and 37 C.F.R. 1.14.

Respectfully submitted,

Karen G. Kaiser, Reg. No. 33, 506

Attorney for Applicant(s) Tel. 908-575-6152

National Starch and Chemical Company P.O. Box 6500 Bridgewater, New Jersey 08807-0500

August 22, 2003